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Docket No. AUS920040005US1 Serial No. 10/761,163 Atty: AJP

Applicant: BROWN ET AL

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Fees: Amendment _____ Notice of Appeal _____ Appeal Brief _____ Other _____

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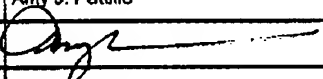
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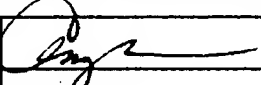
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TRANSMITTAL FORM <small>(to be used for all correspondence after initial filing)</small>	Application Number	10/761,163
	Filing Date	1/20/2004
	First Named Inventor	De La Cruz et al.
	Art Unit	2113
	Examiner Name	Beausoliel Jr, R.
	Attorney Docket Number	AUS920040005US1
Total Number of Pages In This Submission		4

ENCLOSURES (Check all that apply)		
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Amy J. Pattillo		
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Printed name	Amy J. Pattillo		
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Date	10/10/2005 10/10/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DOCKET NUMBER AUS920040005US1

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

De La Cruz et al.

Serial No: 10/761,163

Filed: 1/20/2004

Title: **MANAGING FAILOVER OF J2EE
COMPLIANT MIDDLEWARE IN A HIGH
AVAILABILITY SYSTEM**

Group Art Unit: 2113

IBM CORPORATION
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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

10/761,163


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DOCKET NUMBER AUS920040005US1

The undersigned certifies that this IDS is being submitted before mailing of a first Office Action on the merits.

In the event a fee is required in connection with the enclosed Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,

 on 10/10/05

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PTO/SB(08-03)

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Complete if Known	
	Application Number	10/761,163
	Filing Date	1/20/2004
	First Named Inventor	De La Cruz et al.
	Examiner Name	Beausoliel Jr, R.
	Art Unit	2113
	Attorney Docket No.	AUS920040005US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	US 2005/0159927 A1	07-21-2005	Cruz et al.	
AB	US 2005/0005200 A1	01-2005	Matena et al.	
AC	US 2002/0169738 A1	11-2002	Giel et al.	

FOREIGN PATENT DOCUMENTS				
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